



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/909,929	07/20/2001	Alfred S. Despres III	HAYES-2

CONFIRMATION NO. 2397

FORMALITIES LETTER



OC000000006515025

Pandiscio & Pandiscio
470 Totten Pond Road
Waltham, MA 02451-1914

Date Mailed: 09/05/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

DOCKETED BY *bul/mg*ACTION *Resp. to missing parts*DUE DATE *10/5/01**File 11/5/01*

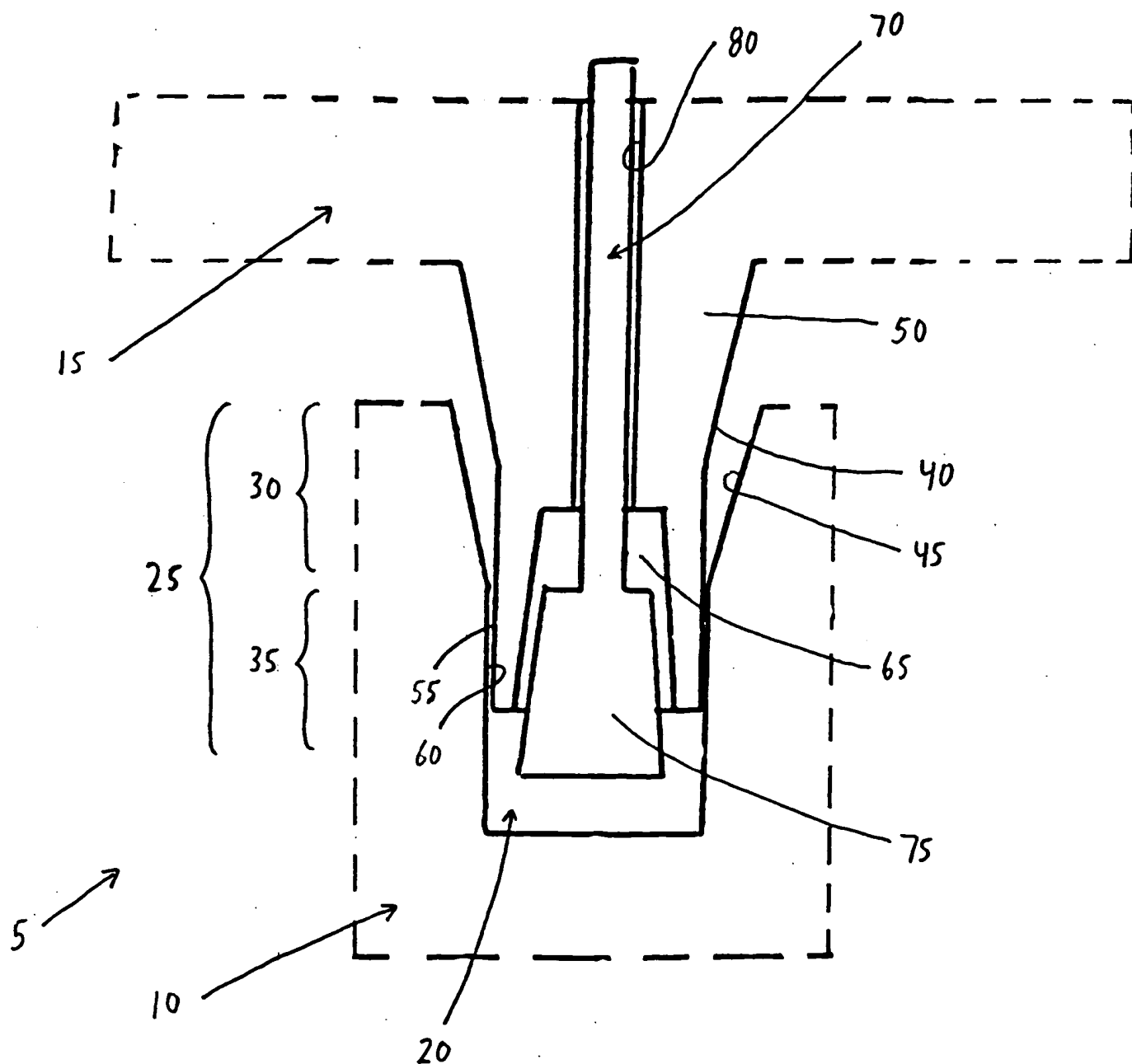
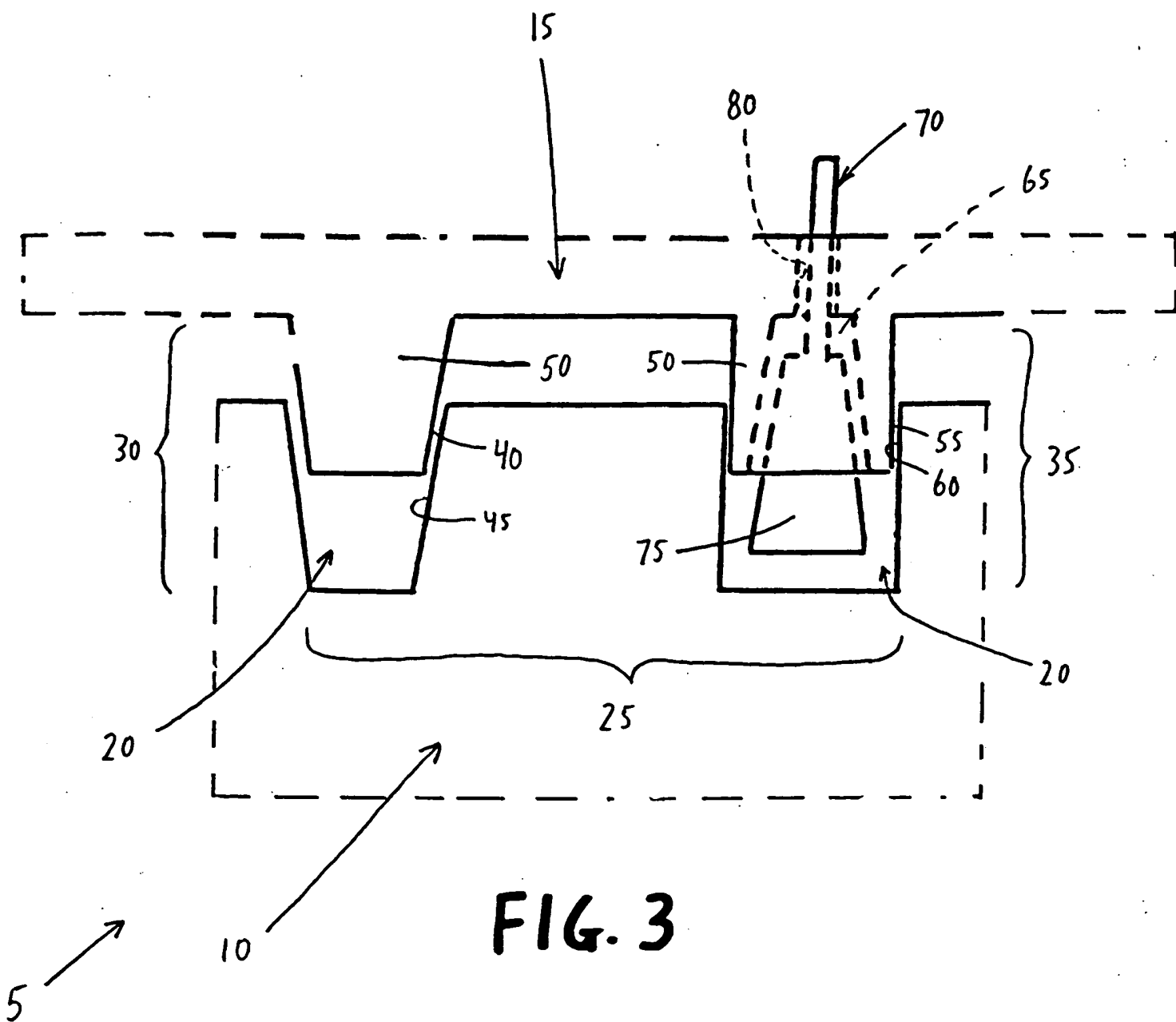
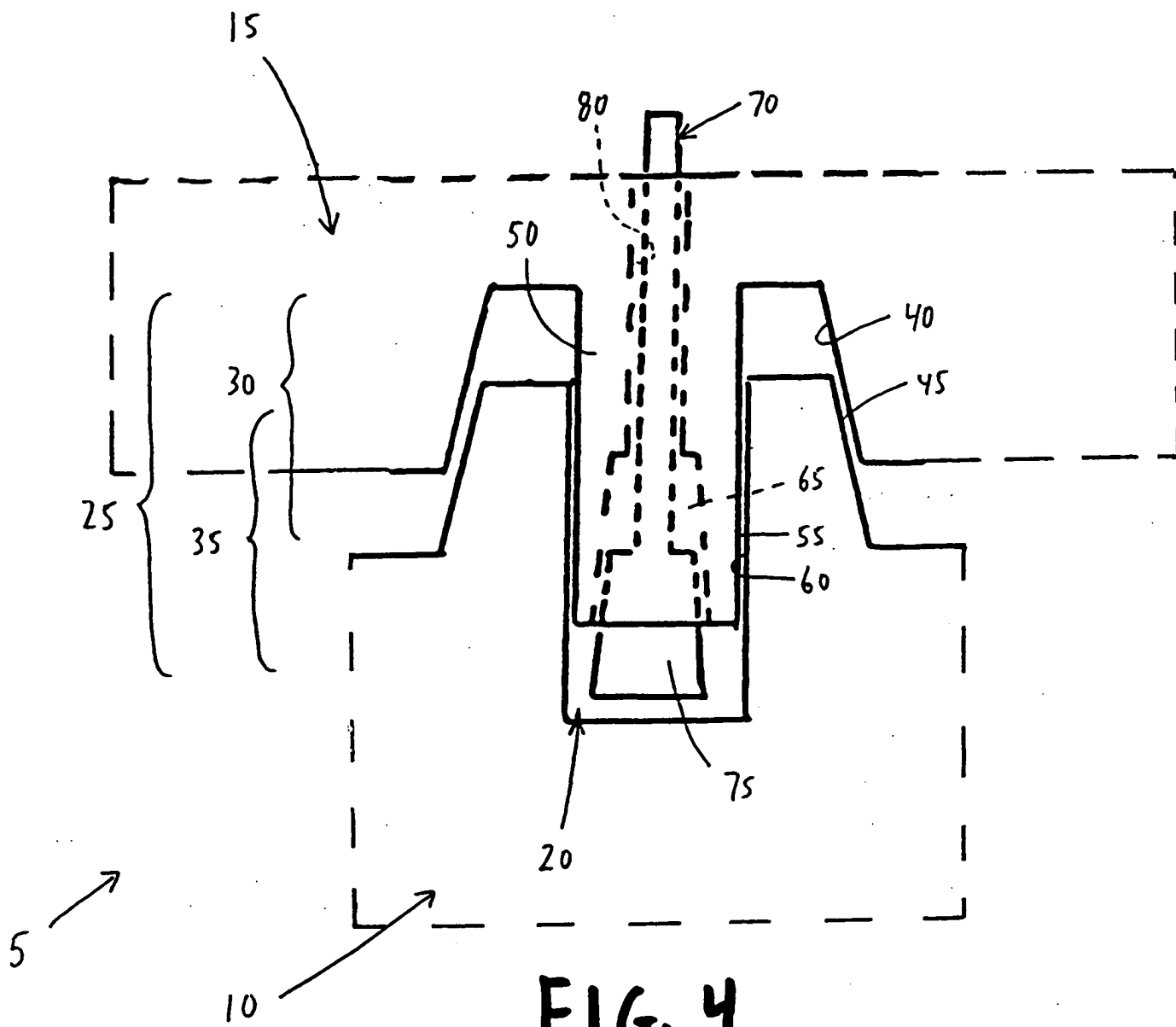


FIG. 2





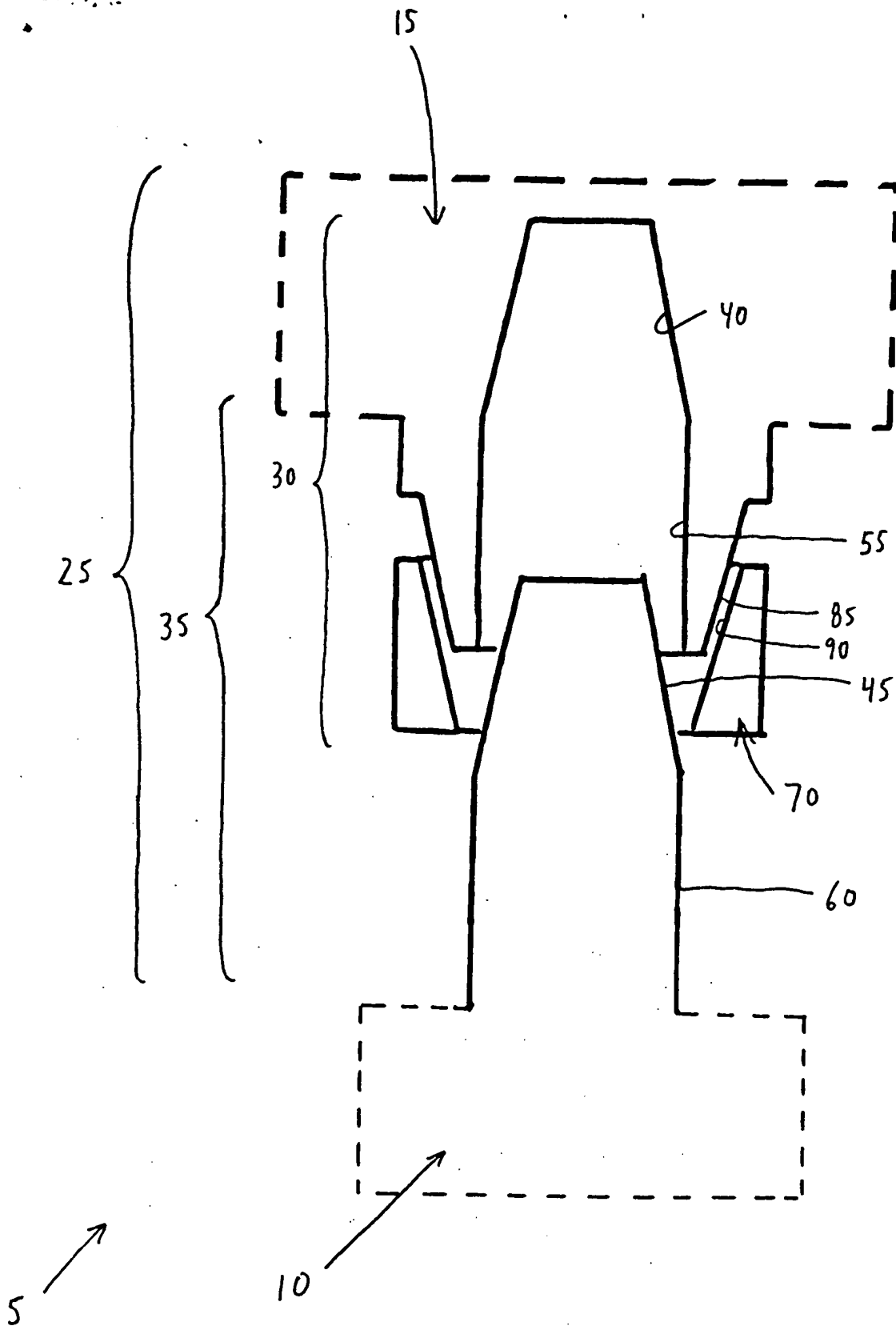


FIG. 5

COPY

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Alfred S. Despres III et al.

Serial No.: 09/909,929

Filing Date: 07/20/01

Title: MODULAR CONNECTION FOR
ORTHOPEDIC COMPONENT

Group Art Unit: 3738

Attorney's Docket No.: HAYES-2

"EXPRESS MAIL" MAILING LABEL NUMBER ET85167621145

DATE OF DEPOSIT 11/7/01

I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE UNDER 37 CFR 1.10 ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO THE ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231.

Box Missing Parts

Assistant Commissioner for Patents

Washington, DC 20231

JAMES A. SHERIDAN

(PERSON MAILING)

Sir:

James A. Sheridan 11/7/01
(SIGNATURE)

RESPONSE TO OUTSTANDING NOTICE TO FILE MISSING PARTS OF
NONPROVISIONAL APPLICATION - FILING DATE GRANTED

In response to the outstanding NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION - FILING DATE GRANTED, Applicant hereby respectfully submits the following:

(1) a DECLARATION AND POWER OF ATTORNEY document listing the names of each of the inventors, specifically identifying the application by serial number and filing date, and signed by the inventors, Alfred S. Despres III and Daniel E. E. Hayes, Jr.; and

(2) a duplicate copy of the NOTICE TO FILE MISSING PARTS OF APPLICATION - FILING DATE GRANTED, as requested in the Notice;

(3) one (1) check in the amount of \$130.00 to cover the surcharge for the late filing of the Declaration, this charge having been calculated on the basis of Large Entity status.

In the event that any additional fees may be required to be paid in connection with this submission, please charge the same, or credit any overpayment, to Deposit Account No. 16-0221.

A duplicate copy of this RESPONSE TO OUTSTANDING NOTICE TO FILE MISSING PARTS OF APPLICATION - FILING DATE GRANTED is enclosed for the convenience of the Patent and Trademark Office.

Respectfully submitted,

James A. Sheridan 11/7/01

James A. Sheridan

Registration No. 43,114

Pandiscio & Pandiscio

470 Totten Pond Road

Waltham, MA 02451-1914

Tel. No. (781) 290-0060

PS/HAYES1.RES



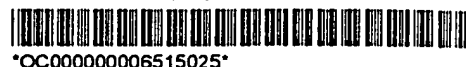
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/909,929	07/20/2001	Alfred S. Despres III	HAYES-2

CONFIRMATION NO. 2397

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Date Mailed: 09/05/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Assistant Commissioner For Patents
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of:

Inventor: Alfred S. Despres III et al.
For: MODULAR CONNECTION JFOR ORTHOPEDIC COMPONENT

Enclosed are:

- ☒ 5 sheets of drawings.
☐ An assignment of the invention to: _____
☐ A verified statement to establish small entity status.
☐ _____

The filing fee has been calculated as shown below:

Small Entity				Large Entity		
For.	No. Filed	No. Extra	Rate	Fee	Rate	Fee
Basic Fee				\$355.00		\$710.00
Total Claims	34 - 20	14	x \$ 9.00	0.00	x \$18.00	252.00
Ind. Claims	2 - 3	0	x \$40.00	0.00	x \$ 0.00	0.00
Mult. Claims			+ \$135.00		+ \$270.00	
				Total		\$962.00

☐ Please charge my Deposit Account No. 16-0221 to cover the filing fee and assignment recording fee. A duplicate copy of this sheet is enclosed.

☒ A check in the amount of \$962.00 to cover the filing fee (and assignment recording fee) is enclosed.

☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 16-0221. A duplicate copy of this sheet is enclosed.

☒ Any additional filing fees required under 37 CFR 1.16.

☒ Any patent application processing fees under 37 CFR 1.17.

☒ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 16-0221. A duplicate copy of this sheet is enclosed.

☒ Any patent application processing fees under 37 CFR 1.17.

☐ The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).

☒ Any filing fees under 37 CFR 1.16 for presentation of extra claims.

Respectfully submitted,

M. Pandiscio 7/20/01
Pandiscio & Pandiscio
470 Totten Pond Road
Waltham, Massachusetts 02451-1914
Tel. (781) 290-0060

JES/HAYES2.FEE

HAYES-2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Alfred S. Despres III et al.
For: MODULAR CONNECTION FOR ORTHOPEDIC
COMPONENT
Attorney's Docket No.: HAYES-2

Date: July 20, 2001

COPY

BOX PATENT APPLICATION
Assistant Commissioner For Patents
Washington, D.C. 20231

Sir:

FILING OF PATENT APPLICATION UNDER 37 CFR 1.10

The attached application is being filed under the
provisions of 37 CFR 1.10.

Applicant's attorney is also submitting the requisite
fee on the basis of Large Entity status as calculated on the
attached transmittal letter.

"EXPRESS MAIL" MAILING LABEL NUMBER ET16968163245

DATE OF DEPOSIT JULY 20, 2001

I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED
WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST
OFFICE TO ADDRESSEE" SERVICE UNDER 37 CFR 1.10 ON THE
DATE INDICATED ABOVE AND IS ADDRESSED TO THE ASSISTANT
COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231.

MARK J. PANDISCIO

(PERSON MAILING)

Mark J. Pandiscio 7/20/01
(SIGNATURE)

Respectfully submitted,

Mark J. Pandiscio 7/20/01

Mark J. Pandiscio
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JES/HAYES2.FIL

HAYES-2